

ANTHONY GIOVANNETTI  
354 Hearst Ave, San Francisco, CA 94112  
(925) 864-5520  
[Anthony.Giovannetti@gmail.com](mailto:Anthony.Giovannetti@gmail.com)

### EXPERIENCE

MUFG UNION BANK, N.A. – SAN FRANCISCO, CALIFORNIA  
MAY 2007- DECEMBER 2016

- *AVP Sr. Sales Support Specialist*
- *AVP BSA Project Manager*
- *AVP Credit Investigations Team Leader*
- *Senior Quality Control Specialist*
- *FIU Investigator*

### ***Key Skills: Project Management, Strategy Development, Document Creation, Cross Functional Collaboration and Coordination, Process Improvement, Training***

During my career at Union Bank I held five unique roles, gaining different experiences and responsibilities with each opportunity. Most recently, as a Senior Sales Support Specialist in the bank's Global Trust and Custody team I was responsible for managing Request for Proposal (RFP) responses to prospective clients. I partnered with business unit management to enhance RFP database content. In addition, I managed sweep fund documentation and drafted ad hoc rate sheets. I partnered with the compliance team to develop and implement a procedure for responding to Due Diligence Questionnaires. I also served as process governance chair and idea sponsor on an internal cross functional process improvement team (INNOVATE). As part of INNOVATE I sponsored the development and enhancement of an internal tracking database.

In my role as Bank Secrecy Act Project Manager I liaised with Senior Compliance Leadership and other cross-functional team members to implement tactical and strategic deliverables. I drafted and managed the approval of the business requirements document (BRD) for a new Currency Transaction Report (CTR) system. In addition, I partnered with Strategic Sourcing to revise and execute a Statement of Work (SOW), and to define the scope of a Request for Quote (RFQ) for a third party system validation. I managed multiple projects simultaneously; including an enterprise AML case management system, where I led UAT, coordinated the system implementation, user training, and provided post deployment support. Additionally, I developed and implemented a revised OFAC Affidavit workflow in response to Audit feedback.

During my tenure as a Credit Investigations Team Leader I was responsible for implementing a new Credit Investigations software solution while overseeing all credit investigations for the Commercial Banking, Private Banking, and Special Assets Businesses. I partnered with numerous business unit leaders and stakeholders to develop new investigative standards while documenting new processes and procedures. I lead discussions with software and public record vendors to customize and deploy the new investigative and reporting solution. I coordinated with the Corporate Training and Credit Policy departments to develop training materials, and deploy the new system to over 300 end users.

As a Sr. Quality Control Specialist, and FIU Investigator, I was responsible for the investigation of potentially suspicious account activity, and quality control of government reports on suspected money laundering and terrorist financing in accordance with reporting guidance and deadlines. I'd also send case referrals to the Deposit Fraud, OFAC Sanctions, and Elder Abuse teams as necessary. In addition to managing my caseload I took on additional projects including an Internal Fraud Monitoring Proof of Concept, and a post-merger staff on boarding.

BANK OF AMERICA - CONCORD, CALIFORNIA  
JUNE 2006 - MAY 2007

- *AML Compliance Analyst*
- *Operations Analyst - Currency Derivatives*

***Key Skills: Training, Procedure Documentation, Investigation and Risk Management***

As a Compliance Analyst I investigated customer activity to locate potential money laundering, structuring and/or terrorist financing. I narrated and summarized SARs (suspicious activity reports) to be filed with FinCEN in accordance of BSA reporting requirements. As an Operations Analyst I researched and resolved payment information discrepancies, placed hold on commercial lines of credit, and wrote procedures and trained new Analysts based on my best practices.

EDUCATION

**Professional Certificate: Personal Financial Planning — 2018**  
University of California, Berkeley

**MBA: Strategic Management — May 2006**  
John F. Kennedy University, Pleasant Hill, CA

**BA: Liberal Studies with Psychology and Religious Studies Minors — May 2002**  
St. Mary's College of California, Moraga, CA

OUTSIDE INTERESTS

**Member** – Financial Planning Association (FPA)

**Member** – Sunnyside Neighborhood Association (SNA)